SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

I

OMB Number:	3235-0287							
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Instruction 1(b). File			Filed pursuant to Section 16(a) of the Securities Exchange Act of 19							
			or Section 30(h) of the Investment Company Act of 1940							
1. Name and Addre Spinelli Alex	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol <u>LIVEPERSON INC</u> [LPSN]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
	(-)		2 Data of Farliant Transaction (Manth/Dav/Maar)	X	Officer (give title below)	e Other (spe below)	cify			
(Last) C/O LIVEPERS 530 7TH AVE,		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/02/2021		EVP, Chief Technology Officer					
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Gro	up Filing (Check Appl	icable			
(Street) NEW YORK	NY	10018		X		ne Reporting Person lore than One Reporti				
(City)	(State)	(Zip)	—		Person		.9			
					<u> </u>		-			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	08/02/2021		S ⁽¹⁾		11,539	D	\$ <mark>65</mark>	195,292 ⁽²⁾	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		d 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares were sold pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 12, 2021.

2. The amount reported includes 99,825 unvested restricted stock units held by the reporting person as of the date of this filing.

Remarks:

/s/ Monica L. Greenberg,

<u>Attorney-in-Fact for</u> <u>Alexander Spinelli</u>

** Signature of Reporting Person Date

08/04/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See